

Levels of Biofilm Expression in *Klebsiella pneumoniae* strains exposed to Herbal Drugs

Background: With the continuous rise in antimicrobial resistance, factors responsible for this phenomenon especially in developing countries have not been properly elucidated. A notable trend in developing country such as Nigeria is the increase in the consumption of herbal drugs.

Aims: To investigate the levels of biofilm expressed in *Klebsiella pneumoniae* strains pre-treated with herbal preparations.

Methodology: Two strains of *K. pneumoniae* strains and 24-well polystyrene plate were used to mimic the surface for bacterial attachment. Each strain was pre-exposed to different concentrations of herbal solutions (100, 50, 25, 12.5, and 6.25%) in 24-well plate and incubated overnight at 37°C. Cell-to-cell surface attachment of *K. pneumoniae* was recorded by obtaining a photograph of the inoculum in the 24-well plate. Crystal violet method was further used to quantify the level of biofilm attached to the surface of the 24-well plate. Results were analysed using student t-test with Graph pad prism 5.

Results: Surface biofilm formation was seen in different drugs used but higher in Bet and Gob. Bet (25%) and Ruz (50%) showed significant level of attached biofilm formed compared to untreated control. This results show that Bet, Gob and Ruz has the ability to induce biofilm in *K. pneumoniae*.

Conclusion: Herbal drugs could predispose *K. pneumoniae* to enhance its production of biofilm.

Keywords: Biofilm, *Klebsiella pneumoniae*, herbal drug, antimicrobial resistance

1. INTRODUCTION

Antimicrobial resistance, a serious increasing health concern, impedes the management and prevention of infections. Different cases of antimicrobial resistance are seen around the world [1]. Drugs resistant strains of tuberculosis have been discovered with 4.5 million recent cases of resistance in tuberculosis globally in 2012. Other cases of resistance have been observed in other bacteria pathogens such as *Escherichia coli*, *Staphylococcus aureus*, and *Klebsiella pneumoniae*. *E. coli* resistance has now been seen in fluoroquinolone, a widely used antibiotic for the treatment of urinary tract infections. Some isolates of *S. aureus* have shown resistance to first-line drugs. Resistance in *K. pneumoniae* to carbapenem, a last resort antibiotic, is now in all parts of the globe [1].

Since the introduction of antimicrobial agents there have been several observations of the development of antimicrobial resistance in many species of bacteria. The first 'miracle' antibiotics discovered was Penicillin [2]. Resistance to Penicillin was later known to have been caused by Penicillinase, a member of β -lactamases that cleaves the benzylpenicillin. In less than 20 years of the introduction of Penicillin, a rapid increase in the production of penicillinase was observed. This observation was noted for tetracycline, penicillin and macrolide at the end of 1950s. This led to the generation of different strains of microbes, resulting in difficulty in management of infections.

A number of mechanisms for antibiotics resistance and spread have been discovered. The horizontal gene pool consisting of the mobile genetic elements is responsible for the lateral transfer of genes. This can occur either within individual species or among different species. Multidrug resistance mechanisms occur naturally via erroneously replication or transfer of resistant traits [3]. The force driving this process is the selective force of antimicrobial utilization. This is very notable in hospitals environment where clear correlation between antimicrobial use and development of resistance can be seen [4], [5], and [6].

Biofilms are surface-attached extracellular polysaccharide matrix. It could lead to life-threatening bacteremia when formed on medical devices such as catheters [7]. Biofilms pose serious challenges to drug treatment by resisting antimicrobial actions at concentrations of up to a thousand folds that could easily eliminate free living or planktonic cells. Factors enhancing biofilm-mediated resistance

38 characteristic include; reduction in the proliferation rate of biofilm [8], inefficient sequestering off
39 antimicrobial agent within biofilm matrix [9] and presence of “persister” cells.

40 The aim of the current study was to examine the hypothesis that exposure of clinical and laboratory
41 strains of *K. pneumoniae* to herbal treatments increase the production of biofilm. The results obtained
42 were compared to a control condition (non-herbal drug pretreated condition). The data from the
43 biofilm assay demonstrate that pre-exposure of *K. pneumoniae* strains to some herbal drugs not only
44 results in cell-to-cell attachment, but also enhances *K. pneumoniae* virulence by increasing level of
45 biofilm produced.

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47 **2. MATERIALS AND METHODS**

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49 **2.1 Collection of Drugs**

50 Locally-made drugs used in this study are Beta cleanser [Bet], Goko alcoholic bitters [Gab], Goko
51 bitters [Gob], Danko solution [Dan], Ruzu bitters [Ruz], and New hope herbal mixture [New]. They
52 were purchased from Mile 3 market, Port Harcourt, Rivers State, Nigeria while antibiotics
53 (Ceftriaxone, Gentamycin, and Ciprofloxacin) were purchased from Wiko Pharmacy in Bori, Khana,
54 Rivers State.

55 **2.2 Collection of Organisms**

56 The laboratory strain also known as control strain of *K. pneumoniae* WCDM was purchased from
57 Sigma United Kingdom while the clinical strain was obtained from Lahor Research Laboratory, Benin,
58 Edo State.

59 **2.3 Media Preparations**

60 **2.3.1 Tryptone Soya Agar (TSA) and Tryptone Soya Broth (TSB)**

61 The microbial media used were TSA and TSB. These were prepared according to the manufacturer's
62 instructions and autoclaved for 15 minutes at 121°C. TSA was aseptically poured into sterile Petri
63 dishes and TSB was stored in storage bottles.

64 **2.4 Biofilm attachment assays**

65 *K. pneumoniae* strains were grown in TSB overnight to stationary phase were diluted to 1:100 in TSB
66 supplemented with 100%, 50%, 25%, 12.5% and 6.25 locally-made drugs [Bet, Gab, Gob, and Ruz]
67 and untreated negative control. The cultures (200 µL) were transferred into a 24-well polystyrene
68 microtiter plate (in triplicate wells). Wells containing sterile growth medium were carried out to check
69 for contamination. The plates were incubated at 37°C for 24 and 48 hrs. To remove the media and
70 loosely adhered bacteria, the plate was vigorous tapped on a tray. Wells were re-washed three times
71 with normal saline to get rid of any remaining non-adherent bacterial cells and media. Plates were air-
72 dried at about 45°C for 1 hr. Bacteria wells were stained with 1000 µL of 2% crystal violet stain for 15
73 minutes at room temperature. After stain was removed, plates were washed twice in normal saline
74 and plates were dried overnight. Plates were incubated in 1000 µL of 95% ethanol for 10 minutes to
75 solubilise the crystal violet stains. Finally, the attachment of bacterial was quantified by measuring the
76 absorbance of the crystal violet at 595 nm. The experiment was performed in triplicate on at least
77 three separate occasions. Data were analysed on Graph Pad Prism 5.0.

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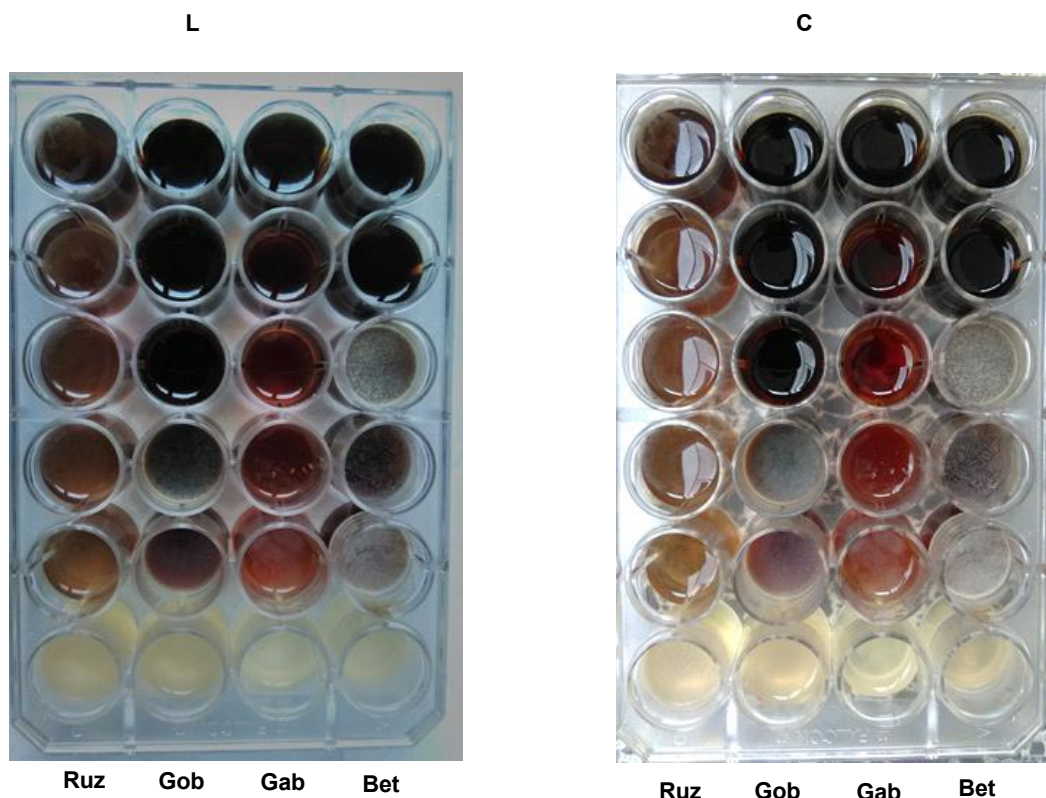
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80 **3. RESULTS**

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82 **3.1 Cell-to-cell attachment**

83 Both the laboratory and clinical strains showed a clumping growth in Gob and Bet when viewed from
84 the surface (Figure 1). The two highest concentrations of both drugs two did not show any level of
85 biofilm induction. The clinical strain showed a more intense level of cell-to-cell aggregation compared
86 to the control.



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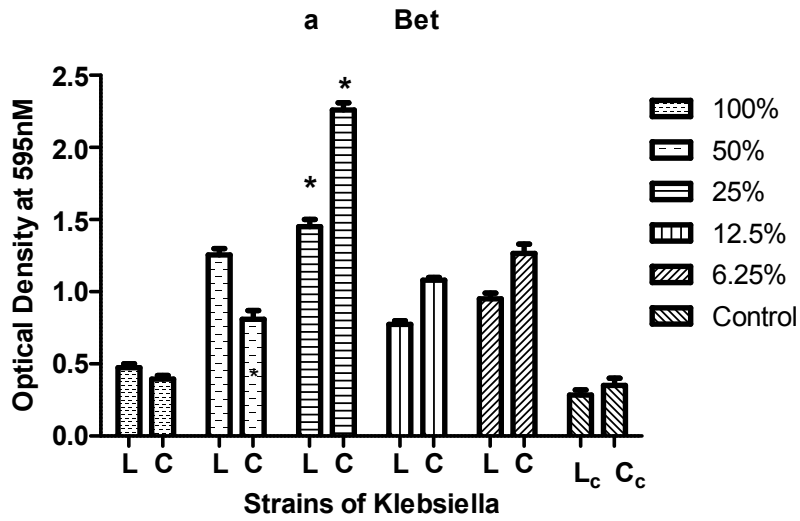
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89 **Figure 1. Surface biofilm formation in *K. pneumoniae* exposed to some herbal solutions.** Biofilm
 90 levels were analysed after 24 hrs of exposure to herbal preparations. Beta cleanser [Bet], Goko alcoholic bitters
 91 [Gab], Goko bitters [Gob], Danko solution [Dan], and Ruzu bitters [Ruz], L: Laboratory strain, C: Clinical strain.

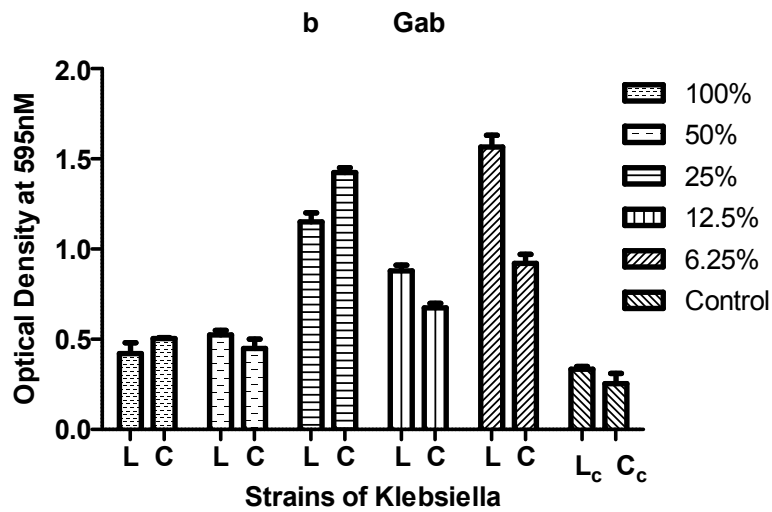
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93 3.2 Biofilm analysis with crystal violet assay

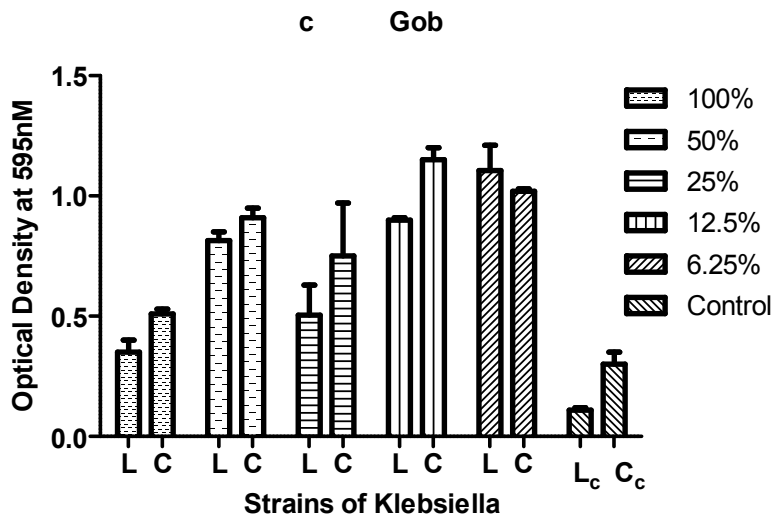
94 In order to investigate the ability of *K. pneumoniae* to attach to surface of devices crystal violet assay
 95 was used. The biofilm was detected as optical density measured at 595Nm. In the experiment, all
 96 drugs showed higher levels of biofilm induction than the control. There were similarities in the pattern
 97 of biofilm adherence to the polystyrene surface in the different drugs used (Figure 3.2a-d). The
 98 unexposed isolates are represented as L_C and C_C. A common trend observed in the experiment is that
 99 higher concentrations of the locally-made herbal preparations exhibited reduced level of biofilm
 100 production. The lower concentrations of the drug used showed a higher level of biofilm induction. The
 101 highest level of biofilm induction is observed in Bet (OD= 2.3), followed by Ruz (OD= 2.0), then Gab
 102 (OD= 1.5) and Gob (OD= 1.3). Figure 3.2a and b showed similar pattern of biofilm production: the
 103 25% concentration showed much higher levels of optical densities. Bet (25%) and Ruz (50%) showed
 104 significant level of biofilm formed compared to untreated control.



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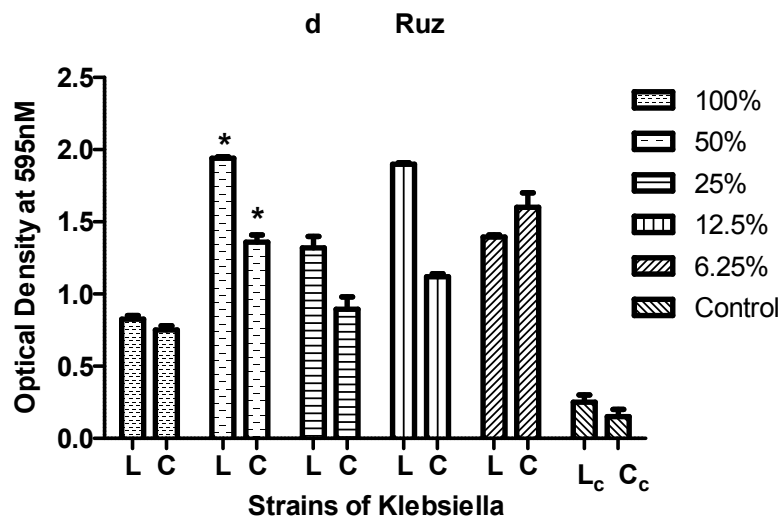


Figure 3.2. *K. pneumoniae* biofilm analysis with crystal violet assay. Biofilm formed was measured after 24 hrs as the absorbance of crystal violet at 595 nM. Data plotted above are mean \pm standard deviation of three independent experiments performed in triplicate. **Statistical significance compared to control not exposed to herbal drugs (L_c and C_c) using $p < 0.05$. Beta cleanser [Bet], Goko alcoholic bitters [Gab], Goko bitters [Gob], Danko solution [Dan], and Ruzu bitters [Ruz], L: Laboratory strain, C: Clinical strain.

4. DISCUSSION

The pathogenesis and outcome of *K. pneumoniae* infection depends on the virulence factors it produces in the course of the infection. An important virulence factor in this bacterium is the ability to produce extracellular polysaccharides called biofilm. Bacteria form biofilm in order to successfully invade and damage the host tissue. There are two ways biofilm can be formed in bacteria; cell-to-cell aggregation and attachment to surface [10]. The potential of bacteria to resist antibiotics and form biofilm on medical devices is becoming high in hospital-acquired infections [11]. This investigation analysed the level of this virulence factor in *K. pneumoniae* exposed to some common herbal preparations used in Nigeria. The data on the drug resistance mechanism induction by herbal drugs furthers our understanding and appreciation of the possible cause of drug resistance in Nigeria.

The processes in bacterial biofilm formation begin first by the initial attachment to a surface [11]. Findings from other investigations have shown that pathogenic bacteria recognise inotropic drugs and use them to grow and produce biofilm [12] and [11]. However, information is not yet available as to whether these inotropes also affected *Klebsiella* spp in similar fashion. Hence, the aim of this project was to investigate biofilm levels in *K. pneumoniae* strains response to exposure to herbal drugs. In this investigation, it was shown that concentration of herbal drugs below the range of consumption can markedly increase aspects of *K. pneumoniae* virulence such as biofilm formation relevant to its ability to persist in the host.

Antimicrobial resistance is a growing problem in controlling infection and biofilm formation by *K. pneumoniae* is an aspect of *K. pneumoniae* pathogenicity that enhances its ability to colonise host. We demonstrated that herbal drugs most commonly consumed by sick patients (Bet, Gab, Gob and Ruz) all markedly increased *K. pneumoniae* biofilm formation on polystyrene surfaces. This is a crucial discovery as bacterial ability to colonise surfaces such as catheters and other hospital plastic devices is a reason thought to influence patients to acquire pneumonia and other blood related infections [13, 14, 15].

Biofilm analysis of herbal drugs induction of biofilm observed in *K. pneumoniae* showed a minimum of two fold increase compared to control (Figure 3.2a) and a maximum of 8-fold increase (Figure 3.2d). A similar study by Freestone et al. [12] demonstrated that *Pseudomonas aeruginosa* a close organism

147 also responsible for pneumonia-associated infection showed increase in biofilm level using crystal
148 violet method. Their study showed a minimum of 1.5-fold increase and maximum of 2-fold induction
149 caused by stress factor such as catecholamine. This suggests that herbal drug is a stronger inducer
150 of biofilm than catecholamine. Further investigations into the untoward effect of biofilm production
151 such as antibiotic resistance are necessary.

152 A number of people within rural and urban settings in Nigeria consume herbal solution some as a way
153 of life while others for the purpose of eliminating infection. Consequentially, the observations from this
154 investigations shows the possibility that consumption of herbal antimicrobial drugs may predispose
155 them individual to opportunistic infection by enhancing *K. pneumoniae* virulence such as biofilm
156 formation that encourages their colonization their survival in stressful situations. The clinical
157 importance of this *in vitro* investigation is highlighted by the fact that it employed the same herbal
158 solutions consumed by people in Nigeria together with the low inoculum of bacterial which represents
159 the infectious dosage present during the initial stage of infection [16]. The findings in this study further
160 buttress the observations in previous studies [17, 18] that herbal antimicrobial agents induce
161 resistance, through suggesting that the production of biofilm could be a mechanism of resistance
162 development employed by some herbal drugs.

163

164 4. CONCLUSION

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166 This study was able to demonstrate for the first time that herbal antimicrobial drugs could increase *K.*
167 *pneumoniae* biofilm production. The mechanisms behind this biofilm induction are yet to be
168 discovered.

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171 CONSENT (WHERE EVER APPLICABLE)

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173 This was not applicable in this research.

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176 ETHICAL APPROVAL (WHERE EVER APPLICABLE)

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178 This was not applicable in this research.

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