

Functional recovery and its predictors after sub-acute stroke rehabilitation in a Nigerian tertiary health facility: A preliminary finding

Abstract

Background: Neurorehabilitation remains one of the main methods of treatment in the management of stroke survivors and its early commencement reduces morbidity and improves function. This study assessed motor function recovery after sub-acute stroke rehabilitation and determines factors that predict the recovery.

Methods: The cohort prospective study includes 30 consecutive in-patients of a tertiary health facility in Nigeria with primary diagnosis of stroke. Their motor function was assessed at admission and discharge using Functional Independence Measure (FIM) and Modified Motor Assessment Scale (MMAS). Descriptive and inferential statistics were used to analyse the data.

Results: Length of rehabilitation/hospital stay ranges between 3 and 60 days (median=16.5 days) and stroke onset interval before admission/rehabilitation ranges between 2 and 28 days (median=8 days). There were significant differences between admission and discharge FIM and MMAS ($p=0.001$). Only 53.3% achieved Minimal Clinically Important Difference (MCID) in functional recovery as measured by FIM at discharge. Type of stroke (haemorrhagic), motor impairment body side (right) and admission FIM (68.5 ± 30.4) were the predictors of achieving MCID after stroke. Right body side motor impairment are 8 times ($OR=7.72$; $CI=1.08 - 54.97$; $p<0.05$) more likely to achieve MCID in functional recovery compared with left side. The multiple regressions also revealed that stroke type (haemorrhagic) and motor impairment body side were the only significant factors in predicting improved functional recovery after stroke measured by FIM.

Conclusion: More than half of stroke survivors achieved MCID in motor function recovery after sub-acute stroke rehabilitation and side of impairment is the major predictor.

Key word: stroke rehabilitation; functional recovery; outcomes; sub-acute stroke

Introduction

Stroke has become major health issue worldwide. It is the leading cause of disability among adults and is the most common cause of dependence in activities of daily living among the elderly [1,2]. It causes not only physical impairment, but also leads to activity restriction, social non-participation and depression [3]. Regaining functional independence is an important goal for people who have experienced stroke [4]. Improved motor function is one of the most often expressed recovery goals by patients with stroke [4].

Previous studies have shown that stroke severity [4], reduced functional status at admission [5,6], increasing age [7,8], sex being female [9,10], and delay in seeking medical treatment [11] are factors that have been associated with a lower rate and extent of functional recovery after stroke. Studies have suggested that patients with intracerebral hemorrhage and ischemic stroke have different recovery patterns though; they share similar prognostic factors with recovery favoured the former [12,13]. These studies observed that despite a greater level of disability on admission to rehabilitation among patients with intracerebral hemorrhage, they achieved significantly greater gains in function than patients with cerebral infarction after rehabilitation [11,12].

49

50 One of the goals of rehabilitation is to help stroke survivors **achieve** optimal level of motor
51 function and independence. Several studies have assessed, monitor the progress of
52 rehabilitation and predict end point outcome among stroke survivors [6,8,11,12]. It is crucial
53 to define changes in functional scores that correspond to a relevant clinical improvement
54 [14]. There is paucity of study that defines functional recovery based on minimal clinically
55 important difference from Nigeria. Therefore, the aim of present prospective study is to
56 assess motor function recovery (based on MCID) after sub-acute stroke rehabilitation and
57 determine factors that predict the recovery.

58

59 **Materials and Methods**

60 **Patients**

61 The prospective study includes 30 consecutive patients admitted to medical ward of a
62 University Teaching Hospital in Nigeria with primary diagnosis of stroke. Other inclusion
63 criteria included: first onset of stroke without other major disease (like diabetes) and the
64 absence of apparent pre-existing disability, stroke onset within 28 days before admission or
65 commencement of rehabilitation, ability to follow instructions and willingness to participate
66 in the study. Their motor function was assessed on admission and after 10 treatment sessions
67 of physiotherapy or **at discharge** (whichever comes first).

68

69 **Procedure**

70 Physical characteristics of the participants (sex and age), type of stroke (**based on clinical**
71 **classification**) and duration since onset of stroke to admission or commencement of
72 rehabilitation were obtained from patient's record. The Outcome Measures (FIM and
73 MMAS) were then applied at admission/point of referral to physiotherapy and after 10
74 treatment sessions of physiotherapy or **at discharge** (from in-patient care) with either of the
75 outcome measure administered first. Their Length of Rehabilitation (LOR) was noted.
76 Scientific and ethical review committee of Olabisi Onabanjo University Teaching Hospital,
77 Nigeria approved the study. Informed consent was given by the participants after the nature,
78 purpose and procedure had been explained. **More than half of the data were collected**
79 **between 2008 and 2010 with few data collected earlier than 2008 and beyond 2010.**

80

81 **Measurements**

82 **Functional recovery:** this was measured with FIM. FIM is an 18-item, 7-level ordinal scale
83 instrument that measures consistent performance in essential daily functional skills. Two main
84 domains with six subscales are assessed by interviewing or by observing a performance of a
85 task to criterion standards. FIM is categorized into 2 main functional streams: "Dependent"
86 (i.e., requires helper: scores 1–5) and "Independent" (i.e., requires no helper: scores 6–7).
87 Scores 1 (total assistance) and 2 (maximal assistance) belonged to the "Complete
88 Dependence" category. Scores 3 (moderate assistance), 4 (minimal contact assistance), and 5
89 (supervision) belonged to the "Modified Dependence" category. Scores 6 (modified
90 independence) and 7 (complete independence) belonged to the "Independent" category.
91 Functional independence measure has been shown to be reliable and valid [15,16].

92

93 **Motor recovery:** this was assessed by MMAS. MMAS has 8 dimensions of motor function:
94 Supine to side lying, Supine to sitting over side of bed, Balanced sitting. Sitting to standing,
95 Walking, Upper-arm function, Hand movements and Advanced hand activities. Each
96 dimension is on 7 point scale 0 to 6. Point 6 indicates optimal motor behavior. MMAS is a
97 valid instrument [17,18].

98

100 **Rehabilitation program**

101 All patients received physiotherapy treatment (motor rehabilitation) in addition to medical
102 treatment and nursing care. The need and type of motor rehabilitation needed was determined
103 by the attending physiotherapists. All patients underwent an average of 3 days/week of
104 physiotherapy (120 min/week). The motor rehabilitation was based on proprioceptive
105 neuromuscular facilitation and neurodevelopmental concept. **In order to ensure**
106 **standardization of treatment procedure the lead author supervised the treatment and other**
107 **physiotherapists involved in the management.**

108

109

110 **Definition of end-points**

111 All patients showing an improvement from baseline to discharge in FIM (motor, cognition
112 and total) scores higher than the Minimal clinically important difference (MCID) were
113 defined as true MCID and those who were not as none MCID. Minimal clinically important
114 difference FIM change scores cut-off of ≥ 22 , ≥ 17 , and ≥ 3 for the total FIM, motor FIM, and
115 cognitive FIM, respectively were used to categorize patients to achieve functional recovery or
116 not [14]. **Sub-acute stroke was defined as stroke onset within 28 days before admission or**
117 **commencement of rehabilitation.**

118

119 **Analysis**

120 Data were summarised with descriptive statistics of mean, standard deviation and
121 percentages. Wilcoxin was used to compare mean of admission and discharge FIM and
122 MMAS. The MMAS and FIM (total, motor and cognitive) changes were determined through
123 differences in the values at admission and discharge. Rate of recovery was determined by
124 dividing the change by LOR. Mann-Whitney U was used to assess differences between
125 characteristics of those who achieved MCID and those who **did** not. Binomial and multiple
126 regressions were performed to assess association of factors that predict motor function
127 recovery. *P* level was set at 0.05.

128

129 **Results**

130 Thirty consecutive stroke survivors were followed up in this study. **Twenty (67%)** were male
131 and majority (90%) had **ischemic** stroke (table 1). More than half achieved minimal clinically
132 important difference (MCID) in their functional recovery measured by FIM at discharge.
133 There was significant difference in the admission and discharge FIM and MMAS with mean
134 length of rehabilitation and stroke onset interval before admission/rehabilitation of 19.5 ± 14.2
135 and 9.8 ± 6.7 days respectively (table 2). Only admission FIM (motor, cognitive and total)
136 showed significant difference between those who achieved MCID and those who **did** not
137 (table 3). There were also significant differences in change of MMAS, FIM (motor, cognition
138 and total), and rate of recovery for MMAS and FIM (in favour of those who achieved MCID)
139 when stratified MCID by motor and total FIM. The result of logistic regression indicates that
140 type of stroke (haemorrhagic); side of body motor impairment (right side), stroke onset
141 interval, age and admission FIM score were the predictors of achieving MCID in functional
142 recovery after stroke measured by FIM (table 4). Right body side motor impairment are 8
143 times (OR=7.72; CI=1.08-54.97) more likely to achieve MCID in their functional recovery
144 compared with left body side motor impairment. The generalized linear models also revealed
145 that type of stroke (haemorrhagic) and motor impairment body side (right) were the only
146 significant factors in predicting change in functional recovery measured by FIM when factors
147 such as age, sex, stroke onset interval, type of stroke and motor impairment body side were
148 entered in the models.

149

150 **Discussion**

151 The present study reported motor function recovery defined by minimal clinically important
152 difference (MCID) after sub-acute stroke rehabilitation. The advantage and clinical relevance
153 of MCID have been earlier stated being the smallest change that is important to patient and
154 minimum threshold of improvement [19]. Thus, it helps to focus on patient-perceived
155 outcomes. The results showed that discharge FIM (total, motor and cognition) and MMAS
156 were significantly greater than admission values. The clinical implication of this finding is
157 that post stroke motor rehabilitation may benefit stroke survivors. This observation was
158 consistent with previous studies which assessed motor functional recovery after stroke
159 [5,6,19]. Analyses of statistical difference of admission and discharge outcomes may not
160 provide sufficient information to identify and quantify those who reach successful recovery
161 and those who did not but MCID does [19]. In particular, around 53% of our subjects reached
162 a clinically relevant improvement which was similar to a previous study who reported 65%
163 [19]. Again this buttress the gain of post stroke motor rehabilitation and this rule out any
164 misleading effect of taking statistics by itself. The slight difference in the value of those that
165 reached a clinically relevant improvement in the two studies may be due to the fact that the
166 present study's subject received only physiotherapy as a form of rehabilitation while the other
167 study's subject received occupation therapy and speech therapy in addition. Occupation
168 therapy and speech therapy were not available at the centre where the present study was
169 conducted for those patients that might benefit from such services. It could also be that the
170 present study setting is under-resourced. It has been reported that there is differential
171 recovery patterns for stroke survivors in developed and developing countries due to
172 differences in resource availability [20].

173

174 Admission FIM (motor and cognition) predicted minimal clinically important difference of
175 functional recovery in the present study. This may suggest that the better the functional
176 independence at admission the better the motor function recovery after post stroke
177 rehabilitation. This observation was consistent with previous studies who reported the role
178 admission FIM in the prediction of discharge or change FIM in stroke survivors [6,19,21].
179 However, the magnitude of effect found in the present study using MCID analysis was lower
180 than what is found by studies using traditional approach based on exit/discharge FIM scores
181 gained by stroke survivor after rehabilitation [6,21]. As revealed by our data those subjects
182 achieving MCID have higher scores of admission FIM than those who did not achieve
183 clinically relevant improvement. The reason for this is not fully understood but it may be that
184 the cognition of those who achieved MCID are less affected or are more independent than
185 those who did not achieve MCID.

186

187 Body side of paresis predicted MCID in the present study. Right body side motor impairment
188 is 8 times more likely to achieve MCID in their functional recovery compared with left body
189 side motor impairment. The reason for this is not clear but it may be that the patients with
190 right body paresis have greater gain in FIM than left body side paresis as suggested by our
191 data or better still, it could be that many were right hand dominant. Though, hand dominance
192 was not assessed. Type of stroke, age and onset of stroke to rehabilitation has been shown to
193 predict functional recovery after stroke [22-24]. Our results confirm these findings though not
194 significant in sub-acute stroke rehabilitation. Gender does not predict functional recovery in
195 the present study which was in agreement with a study which reported that gender did not
196 emerge as an independent predictor for higher FIM at discharge, suggesting that gender
197 should not be held as adversely affecting rehabilitation [21]. Our study differ from study that
198 reported that length of rehabilitation predict functional recovery [22]. The difference could

199 be due to the fact that our study follows up the patient for a short period of time than the
200 previous study.

201

202 This study has some limitations, which must be considered when interpreting these results.
203 Our findings may not be generalized to all stroke survivors but are representative of
204 functional recovery only in survivors who undergo inpatient rehabilitation therapy. We did
205 not include all possible predictors (such as stroke severity, depression etc) in regression
206 analysis. **A future line of research could be the influence of mild cognitive impairment on**
207 **functional recovery of acute stroke. This would be important because mild cognitive**
208 **impairment is an essential clinical feature of acute stroke, mainly in small vessel subcortical**
209 **infarcts [25].**

210

211 Despite these limitations, the present study has several strengths. We define functional
212 recovery based on a new and powerful method of assessing rehabilitation outcomes using
213 minimal clinically important difference. The prospective nature of the study allows us to
214 follow up the survivors through the sub-acute stage.

215

216 Conclusion

217 More than half of the stroke survivors achieved minimal clinically important difference in
218 their functional recovery after sub-acute rehabilitation. Side of paresis predicted MCID in the
219 present study.

220

221 **Disclaimer: - This manuscript was presented in the conference "54th Annual Scientific Conference**
222 **of Nigeria Society of Physiotherapy, At Asaba, Delta State"**

223

available link is

224

"https://www.researchgate.net/publication/268146648_Motor_Function_Recovery_after_Rehabilitation_of_Sub-Acute_Stroke_in_a_Nigerian_Tertiary_Health_Facility"

225

date - November 2014.

226

date - November 2014.

227

228

229

230

References

231

1. Dallas MI, Rone-Adams S, Echternach JL, Brass LM, Bravata DM. Acute Ischemic Stroke
232 Dependence in Prestroke Mobility Predicts Adverse Outcomes Among Patients With Acute
233 Ischemic Stroke. 2008; 39:2298-2303

234

235

2. Gbiri CA, Akinpelu AO, Ogunniyi A, Akinwuntan AE, Van Staden CW. Clinical
236 predictors of functional recovery at six month post-stroke. Asian Journal of Medical Sciences
237 2015; 6(1): 49-54

238

239

3. Pan JH, Song XY, Lee SY, Kwok T. Longitudinal Analysis of Quality of Life for Stroke
240 Survivors Using Latent Curve Models. Stroke 2008; 39:2795-2802

241

242

4. Cumming TB, Thrift AG, Collier JM, Churilov L, Dewey HM, Donnan GA, et al. Very
243 Early Mobilization After Stroke Fast-Tracks Return to Walking: Further Results From the
244 Phase II AVERT Randomized Controlled Trial. Stroke. 2011; 42:153-158

245

246

5. Oneş K, Yalçinkaya EY, Toklu BC, Çağlar N. Effects of age, gender, and cognitive,
247 functional and motor status on functional outcomes of stroke rehabilitation.

248

NeuroRehabilitation 2009; 25(4):241-9.

- 249
250 6. Gialanella B, Santoro R, Ferlucci C. Predicting outcome after stroke: the role of basic
251 activities of daily living. *Eur J Phys Rehabil Med* 2013; 49:629-37
252
- 253 7. Bagg S, Pombo AP, Hopman W. Effect of Age on Functional Outcomes after Stroke
254 Rehabilitation. *Stroke* 2002; 33:179-185
255
- 256 8. Mutai H, Furukawa T, Araki K, Misawa K, Hanihara T. Factors associated with functional
257 recovery and home discharge in stroke patients admitted to a convalescent rehabilitation
258 ward. *Geriatr Gerontol Int* 2012; 12(2):215-22.
259
- 260 9. Gall SL, Tran PL, Martin K, Blizzard L, Srikanth V. Sex Differences in Long-Term
261 Outcomes After Stroke: Functional Outcomes, Handicap, and Quality of Life. *Stroke* 2012;
262 43:1982-1987
263
- 264 10. Paolucci S, Bragoni M, Coiro P, Angelis DD, Fusco FR, Morelli D, et al. Is Sex a
265 Prognostic Factor in Stroke Rehabilitation?: A Matched Comparison. *Stroke* 2006; 37:2989-
266 2994.
267
- 268 11. Wang H, Camicia M, Terdiman J, Hung YY, Sandel ME. Time to inpatient rehabilitation
269 hospital admission and functional outcomes of stroke patients. *PM R* 2011; 3(4):296-304
270
- 271 12. Katrak PH, Black D, Peeva V. Do stroke patients with intracerebral hemorrhage have a
272 better functional outcome than patients with cerebral infarction? *PM R* 2009; 1(5):427-33
273
- 274 13. Wei JW, Heeley EL, Wang J, Huang Y, Wong LKS, Li Z, et al. Comparison of Recovery
275 Patterns and Prognostic Indicators for Ischemic and Hemorrhagic Stroke in China: The China
276 QUEST (Quality Evaluation of Stroke Care and Treatment) Registry Study. *Stroke* 2010;
277 41:1877-1883
278
- 279 14. Beninato M, Gill-Body KM, Salles S, Stark PC, Black-Schaffer RM, Stein J.
280 Determination of the minimal clinically important difference in the FIM instrument in
281 patients with stroke. *Arch Phys Med Rehabil* 2006; 87(1):32-9.
282
- 283 15. Ottenbacher KJ, Hsu Y, Granger CV, Fiedler RC. The reliability of the functional
284 independence measure: a quantitative review. *Archives of Physical Medicine and*
285 *Rehabilitation* 1996; 77(12):1226-32.
286
- 287 16. Williams BK, Galea MP, Winter AT. What is the functional outcome for the upper limb
288 after stroke? *Australia Journal of Physiotherapy* 2001; 47(1):19-27.
289
- 290 17. Lannin N. Reliability, validity and factor structure of the upper limb subscale of the
291 Motor Assessment Scale (UL-MAS) in adults following stroke. *Disability and Rehabilitation*
292 2004; 26(2):109-16.
293
- 294 18. Sabari JS, Lim AL, Velozo CA, Lehman L, Kieran O, Lai JS. Assessing arm and hand
295 function after stroke: a validity test of the hierarchical scoring system used in the motor
296 assessment scale for stroke. *Archives of Physical Medicine and Rehabilitation* 2005;
297 86(8):1609-15.
298

299 19. Ginex V, Vanacore N, Lacort E, Sozzi M, Pisani L, Corbo M, et al. General cognition
300 predicts post-stroke recovery defined through minimal clinically important difference
301 (MCID): A cohort study in Italian rehabilitation clinic. *Eur J Phys Rehabil Med.* 2015;
302 51(5):597-606.
303
304 20. Rhoda A, Smith M, Putman K, Mpofu R, DeWeerd W, DeWit L. Motor and functional
305 recovery after stroke: a comparison between rehabilitation settings in a developed versus a
306 developing country. *BMC Health Services Research* 2014, 14:82
307
308 21. Mizrahi EH, Fleissig Y, Arad M, Adunsky A. Short-term functional outcome of ischemic
309 stroke in the elderly: A comparative study of atrial fibrillation and non-atrial fibrillation
310 patients. *Archives of Gerontology and Geriatrics* 2014; 58:121–124
311
312 22. Maulden SA, Gassaway J, Horn SD, Smout RJ, DeJong G. Timing of Initiation of
313 Rehabilitation after Stroke. *Arch Phys Med Rehabil* 2005; 86(12 Suppl 2):S34-40.
314
315 23. Schepers VPM, Ketelaar M, Visser-Meily AJM, de Groot V, Twisk JWR, Lindeman E.
316 Functional recovery differs between ischaemic and haemorrhagic stroke patients. *J Rehabil*
317 *Med* 2008; 40: 487–489
318
319 24. Haselbach D, Renggli A, Carda S, Croquelois A. Determinants of neurological functional
320 recovery potential after stroke in young adults. *Cerebrovasc Dis Extra* 2014; 4:77– 83
321
322 25. Grau-Olivares M, Arboix A. Mild cognitive impairment in stroke patients with ischemic
323 cerebral small-vessel disease: a forerunner of vascular dementia? *Expert Rev Neurother.*
324 2009; 9(8):1201-17.
325
326
327
328
329

330 **Table 1: Descriptive Characteristics of the Participants (N=30)**

Variables	n	%
Sex		
Male	20	66.7
Female	10	33.3
Type of stroke		
Ischemic	27	90
Haemorrhagic	3	10
Stroke motor impairment		
Right body	13	43.3
Left body	17	56.7
Minimal clinically important difference		
True difference (total FIM)	16	53.3
Motor FIM	17	56.7
Cognitive FIM	15	50.0

331 FIM=Functional Independence Measure

332
333
334
335
336
337

Table 2: Quantitative Characteristics of the Participants

Variables	admission Mean±SD	discharge Mean±SD	p	change/gain Mean±SD	Mean±SD
MMAS	24.70±13.86	35.23±11.26	0.001	10.53±8.34	
Motor FIM	43.97±24.00	64.83±20.24	0.001	20.87±16.23	
Cognitive FIM	24.57±10.25	29.00±7.46	0.001	4.43±5.29	
Total FIM	68.53±30.39	93.83±25.16	0.001	25.3±19.6	
Age (years)					62.3±13.1
Length of rehabilitation (days)					19.5±14.2
Stroke onset interval (days)					9.8±6.7
MMAS rate of recovery [median(range)]					0.5(0.1-2.6)
Motor FIM rate of recovery [median(range)]					1.2(0-9.3)
Cognitive FIM rate of recovery [median(range)]					0.1(0-6)
Total FIM rate of recovery [median(range)]					1.4(0-15.3)

338 MMAS=Modified motor assessment scale FIM= Functional Independence Measure

339
340
341
342

Table 3: Differences between true MCID and none MCID characteristics

Variable	MCID stratified by					
	motor FIM		cognitive FIM		total FIM	
	U	P	U	P	U	P
Age	98.0	0.60	88.5	0.32	88.0	0.32
Stroke onset interval	69.0	0.08	110.5	0.93	78.0	0.16
Length of rehabilitation	72.5	0.11	105.0	0.76	75.5	0.13
Admission MMAS	91.5	0.43	95.5	0.48	99.0	0.59
Admission Motor FIM	60.0	0.03	82.5	0.21	58.0	0.02
Admission Cognitive FIM	89.5	0.38	44.0	0.01	84.0	0.24
Admission Total FIM	67.5	0.07	66.5	0.06	66.0	0.06
Change in MMAS	45.0	0.01	106.0	0.79	42.0	0.01
Change in Motor FIM	0.0	0.001	69.5	0.07	0.5	0.001
Change in Cognitive FIM	51.5	0.01	0.0	0.001	48.0	0.01

Change in Total FIM	0.0	0.001	57.0	0.02	0.0	0.001
MMAS rate of recovery	24.0	0.001	98.5	0.56	22.0	0.001
Motor FIM rate of recovery	4.0	0.001	84.0	0.24	8.0	0.001
Cognitive FIM rate of recovery	51.0	0.01	13.0	0.001	48.0	0.01
Total FIM rate of recovery	3.0	0.001	72.0	0.09	6.0	0.001

343
344
345
346
347
348
349
350
351

Table 4: Association of Predicting Factors and Minimal Clinical Important Difference

Independent variables		OR	SE(OR)	95%CI
Total FIM				
Stroke type	haemorrhagic	1		
	Ischemic	0.02	2.35	0 – 1.77
Stroke motor impairment				
	Left side	1		
	Right side	7.72*	1.00	1.08-54.97
Age		1.07	0.04	0.99-1.16
Stroke onset interval		0.87	0.08	0.74-1.01
Motor FIM				
Admission motor FIM		0.96*	0.02	0.92-1.00
Cognitive FIM				
Admission cognitive FIM		0.88*	0.05	0.80-0.98

352
353
354

MCID=Minimal Clinical Important Difference *significant at $P<0.05$
Factors enter in the model (binary regression): type of stroke, motor impairment body side, stroke onset interval, age, admission FIM, sex and length of rehabilitation.