

Functional recovery and its predictors after sub-acute stroke rehabilitation in a Nigerian tertiary health facility**Abstract**

Background: Neurorehabilitation remains one of the main methods of treatment in the management of stroke survivors and its early commencement reduces morbidity and improves function. This study assessed motor function recovery after sub-acute stroke rehabilitation and determines factors that predict the recovery.

Methods: The cohort prospective study includes 30 consecutive in-patients of a tertiary health facility in Nigeria with primary diagnosis of stroke. Their motor function was assessed at admission and discharge using Functional Independence Measure (FIM) and Modify Motor Assessment Scale (MMAS). Descriptive and inferential statistics was used to analyse the data.

Results: Length of rehabilitation/hospital stay ranges between 3 and 60 days (median=16.5 days) and stroke onset interval before admission/rehabilitation ranges between 2 and 28 days (median=8 days). There were significant differences between admission and discharge FIM and MMAS ($p=0.001$). Only 53.3% achieved Minimal Clinically Important Difference (MCID) in functional recovery as measured by FIM at discharge. Type of stroke (haemorrhagic), motor impairment body side (right) and admission FIM (68.5 ± 30.4) were the predictors of achieving MCID after stroke. Right body side motor impairment are 8 times ($OR=7.72$; $CI=1.08 - 54.97$; $p<0.05$) more likely to achieve MCID in functional recovery compared with left side. The multiple regressions also revealed that stroke type (haemorrhagic) and motor impairment body side were the only significant factors in predicting improved functional recovery after stroke measured by FIM.

Conclusion: More than half of stroke survivors achieved MCID in motor function recovery after sub-acute stroke and side of impairment is the major predictor.

Key word: stroke rehabilitation; functional recovery; outcomes; sub-acute stroke

Introduction

Stroke has become major health issue worldwide. It is the leading cause of disability among adults and is the most common cause of dependence in activities of daily living among the elderly [1,2]. It affects not only physical impairment, but also leads to activity restriction, social non-participation and depression [3]. Regaining functional independence is an important goal for people who have experienced stroke [4]. Improved motor function is the one of most often expressed recovery goals by patients with stroke [4].

Previous studies have shown that stroke severity [4], reduced functional status at admission [5,6], increasing age [7,8], sex being female [9,10], and delay in seeking medical treatment [11] are factors that have been associated with a lower rate and extent of functional recovery after stroke. Studies have suggested that patients with intracerebral hemorrhage and ischemic stroke have different recovery patterns though; they share similar prognostic factors with recovery favoured the former [12,13]. These studies observed that despite a greater level of disability on admission to rehabilitation among patients with intracerebral hemorrhage, they achieved significantly greater gains in function than patients with cerebral infarction after rehabilitation [11,12].

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50 One of the goals of rehabilitation is to help stroke survivors achieved optimal level of motor
51 function and independence. Several studies have assessed, monitor the progress of
52 rehabilitation and predict end point outcome among stroke survivors [6,8,11,12]. It is crucial
53 to define changes in functional scores that correspond to a relevant clinical improvement
54 [14]. There is paucity of study that defines functional recovery based on minimal clinically
55 important difference from Nigeria. Therefore, the aim of present prospective study is to
56 assess motor function recovery (based on MCID) after sub-acute stroke rehabilitation and
57 determine factors that predict the recovery.

58

59 **Materials and Methods**

60 **Patients**

61 The prospective study includes 30 consecutive patients admitted to medical ward of a
62 University Teaching Hospital in Nigeria with primary diagnosis of stroke. Other inclusion
63 criteria includes: first onset of stroke without other major disease (like diabetes) and the
64 absence of apparent pre-existing disability, stroke onset within 28 days before admission or
65 commencement of rehabilitation, ability to follow instructions and willingness to participate
66 in the study. Their motor function recovery was assessed on admission and after 10 treatment
67 session of physiotherapy or discharged (whichever comes first).

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69 **Procedure**

70 Physical characteristics of the participants (sex and age), type of stroke and duration since
71 onset of stroke to admission or commencement of rehabilitation were obtained from patient's
72 record. The Outcome Measures (FIM and MMAS) were then applied at admission/point of
73 referral to physiotherapy and after 10 treatment sessions of physiotherapy or discharged
74 (from in-patient care) with either of the outcome measure administered first. Their Length of
75 Rehabilitation (LOR) was noted. Scientific and ethical review committee of Olabisi Onabanjo
76 University Teaching Hospital, Nigeria approved the study. Informed consent was given by
77 the participants after the nature, purpose and procedure has been explained.

78

79 **Measurements**

80 **Functional recovery:** this was measured with FIM. FIM is an 18-item, 7-level ordinal scale
81 instrument that measures consistent performance in essential daily functional skills. Two main
82 domains with six subscales are assessed by interviewing or by observing a performance of a
83 task to criterion standards. FIM is categorized into 2 main functional streams: "Dependent"
84 (i.e., requires helper: scores 1–5) and "Independent" (i.e., requires no helper: scores 6–7).
85 Scores 1 (total assistance) and 2 (maximal assistance) belonged to the "Complete
86 Dependence" category. Scores 3 (moderate assistance), 4 (minimal contact assistance), and 5
87 (supervision) belonged to the "Modified Dependence" category. Scores 6 (modified
88 independence) and 7 (complete independence) belonged to the "Independent" category.
89 Functional independence measure has been shown to be reliable and valid [15,16].

90

91 **Motor recovery:** this was assessed by MMAS. MMAS has 8 dimensions of motor function:
92 Supine to side lying, Supine to sitting over side of bed, Balanced sitting. Sitting to standing,
93 Walking, Upper-arm function, Hand movements and Advanced hand activities. Each
94 dimension is on 7 point scale 0 to 6. Point 6 indicates optimal motor behavior. MMAS is a
95 valid instrument [17,18].

96

97 **Rehabilitation program**

98 All patients received physiotherapy treatment (motor rehabilitation) in addition to medical
99 treatment and nursing care. The need and type of motor rehabilitation needed was determined
100 by the attending physiotherapists. All patients underwent an average of 3 days/week of
101 physiotherapy (120 min/week). The motor rehabilitation was based on proprioceptive
102 neuromuscular facilitation and neurodevelopmental concept.
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105 **Definition of end-points**

106 All patients showing an improvement from baseline to discharge in FIM (motor, cognition
107 and total) scores higher than the Minimal clinically important difference (MCID) were
108 defined as true MCID and those who were not as none MCID. Minimal clinically important
109 difference FIM change scores cut-off of ≥ 22 , ≥ 17 , and ≥ 3 for the total FIM, motor FIM, and
110 cognitive FIM, respectively were used to categorize patients to achieve functional recovery or
111 not [14].
112

113

113 **Analysis**

114 Data were summarised with descriptive statistics of mean, standard deviation and
115 percentages. Wilcoxin was used to compare mean of admission and discharge FIM and
116 MMAS. The MMAS and FIM (total, motor and cognitive) changes were determined through
117 differences in the values at admission and discharge. Rate of recovery was determined by
118 dividing the change by LOR. Mann-Whitney U was used to assess differences between
119 characteristics of those who achieved MCID and those who are not. Binomial and multiple
120 regressions were performed to assess association of factors that predict motor function
121 recovery. Alpha level was set at 0.05.
122

123

123 **Results**

124 Thirty consecutive stroke survivors were followed up in this study. About 67% (20) were
125 male and majority (90%) had infarctive stroke (table 1). More than half achieved minimal
126 clinically important difference (MCID) in their functional recovery measured by FIM at
127 discharge. There was significant difference in the admission and discharge FIM and MMAS
128 with mean length of rehabilitation and stroke onset interval before admission/rehabilitation of
129 19.5 ± 14.2 and 9.8 ± 6.7 days respectively (table 2). Only admission FIM (motor, cognitive and
130 total) showed significant difference between those who achieved MCID and those who were
131 not (table 3). There were also significant differences in change of MMAS, FIM (motor,
132 cognition and total), and rate of recovery for MMAS and FIM (in favour of those who
133 achieved MCID) when stratified MCID by motor and total FIM. The result of logistic
134 regression indicates that type of stroke (haemorrhagic); side of body motor impairment (right
135 side), stroke onset interval, age and admission FIM score were the predictors of achieving
136 MCID in functional recovery after stroke measured by FIM (table 4). Right body side motor
137 impairment are 8 times (OR=7.72; CI=1.08-54.97) more likely to achieve MCID in their
138 functional recovery compared with left body side motor impairment. The generalized linear
139 models also revealed that type of stroke (haemorrhagic) and motor impairment body side
140 (right) were the only significant factors in predicting change in functional recovery measured
141 by FIM when factors such as age, sex, stroke onset interval, type of stroke and motor
142 impairment body side were entered in the models.
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144 **Discussion**

145 The present study reported motor function recovery defined by minimal clinically important
146 difference (MCID) after sub-acute stroke rehabilitation. The advantage and clinical relevance
147 of MCID have been earlier stated being the smallest change that is important to patient and

148 minimum threshold of improvement [19]. Thus, it helps to focus on patient-perceived
149 outcomes. The results showed that discharge FIM (total, motor and cognition) and MMAS
150 were significantly greater than admission values. The clinical implication of this finding is
151 that post stroke motor rehabilitation may benefit stroke survivors. This observation was
152 consistent with previous studies which assessed motor functional recovery after stroke
153 [5,6,19]. Analyses of statistical difference of admission and discharge outcomes may not
154 provides sufficient information to identify and quantify those who reach successful recovery
155 and those who are not but MCID does [19]. In particular, around 53% of our subjects reached
156 a clinically relevant improvement which was similar to a previous study who reported 65%
157 [19]. Again this buttress the gain of post stroke motor rehabilitation and this rule out any
158 misleading effect of taking statistics by itself. The slight difference in the value of those reach
159 a clinically relevant improvement in the two studies may be due to the fact that the present
160 study's subject received only physiotherapy as a form of rehabilitation while the other study's
161 subject received occupation therapy and speech therapy in addition. Occupation therapy and
162 speech therapy were not available at the centre where the present study was conducted for
163 those patients that might benefit from such services. It could also be that the present study
164 setting is under-resourced. It has been reported that there is differential recovery patterns for
165 stroke survivors in developed and developing countries due to differences in resource
166 availability [20].

167
168 Admission FIM (motor and cognition) predicted minimal clinically important difference of
169 functional recovery in the present study. This may suggest that the better the functional
170 independence at admission the better the motor function recovery after post stroke
171 rehabilitation. This observation was consistent with previous studies who reported the role
172 admission FIM in the prediction of discharge or change FIM in stroke survivors [6,19,21].
173 However, the magnitude of effect found in the present study using MCID analysis was lower
174 than what is found by studies using traditional approach based on exit/discharge FIM scores
175 gained by stroke survivor after rehabilitation [6,21]. As revealed by our data those subjects
176 achieving MCID have higher scores of admission FIM than those who did not achieve
177 clinically relevant improvement. The reason for this is not fully understood but it may be that
178 the cognition of those who achieving MCID are less affected or are more independent than
179 those who did not achieve MCID.

180
181 Body side of paresis predicted MCID in the present study. Right body side motor impairment
182 is 8 times more likely to achieve MCID in their functional recovery compared with left body
183 side motor impairment. The reason for this is not clear but it may be that the patients with
184 right body paresis have greater gain in FIM than left body side paresis as suggested by our
185 data or better still, it could be that many were right hand dominance. Though, hand
186 dominance was not assessed. Type of stroke, age and onset of stroke to rehabilitation has
187 been shown to predict functional recovery after stroke [22-24]. Our results confirm these
188 findings though not significant in sub-acute stroke rehabilitation. Gender does not predict
189 functional recovery in the present study which was in agreement with a study who reported
190 that gender did not emerge as an independent predictor for higher FIM at discharge,
191 suggesting that gender should not be held as adversely affecting rehabilitation [21]. Our study
192 differ from study that reported that length of rehabilitation predict functional recovery [22].
193 The difference could be due to the fact that our study follows up the patient for a short period
194 of time than the previous study.

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196 This study has some limitations, which must be considered when interpreting these results.
197 Our findings may not be generalized to all stroke survivors but are representative of

198 functional recovery only in survivors who undergo inpatient rehabilitation therapy. We did
199 not include all possible predictors (such as stroke severity, depression etc) in regression
200 analysis.

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202 Despite these limitations, the present study has several strengths. We define functional
203 recovery based on a new and powerful method of assessing rehabilitation outcomes using
204 minimal clinically important difference. The prospective nature of the study allows us to
205 follow up the survivors through the sub acute stage.

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207 Conclusion

208 More than half of the stroke survivors achieved minimal clinically important difference in
209 their functional recovery after sub-acute rehabilitation. Side of paresis predicted MCID in the
210 present study.

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Table 1: Descriptive Characteristics of the Participants (N=30)

Variables	%	n
Sex		
Male	66.7	20
Female	33.3	10
Type of stroke		
Infarctive	90	27
Haemorrhagic	10	3
Stroke motor impairment		
Right body	43.3	13
Left body	56.7	17
Minimal clinically important difference		
True difference (total FIM)	53.3	16
Motor FIM	56.7	17
Cognitive FIM	50.0	15

308 FIM=Functional Independence Measure

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Table 2: Quantitative Characteristics of the Participants

Variables	admission	discharge	p	change/gain	Mean±SD
	Mean±SD	Mean±SD		Mean±SD	
MMAS	24.70±13.86	35.23±11.26	0.001	10.53±8.34	
Motor FIM	43.97±24.00	64.83±20.24	0.001	20.87±16.23	
Cognitive FIM	24.57±10.25	29.00±7.46	0.001	4.43±5.29	
Total FIM	68.53±30.39	93.83±25.16	0.001	25.3±19.6	
Age (years)					62.3±13.1
Length of rehabilitation (days)					19.5±14.2
Stroke onset interval (days)					9.8±6.7
MMAS rate of recovery [median(range)]					0.5(0.1-2.6)
Motor FIM rate of recovery [median(range)]					1.2(0-9.3)

Cognitive FIM rate of recovery [median(range)]	0.1(0-6)
Total FIM rate of recovery [median(range)]	1.4(0-15.3)

314 MMAS=Modified motor assessment scale

315 FIM= Functional Independence Measure

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318 **Table 3:** Differences between true MCID and none MCID characteristics

Variable	MCID stratified by					
	motor FIM		cognitive FIM		total FIM	
	U	P	U	P	U	P
Age	98.0	0.60	88.5	0.32	88.0	0.32
Stroke onset interval	69.0	0.08	110.5	0.93	78.0	0.16
Length of rehabilitation	72.5	0.11	105.0	0.76	75.5	0.13
Admission MMAS	91.5	0.43	95.5	0.48	99.0	0.59
Admission Motor FIM	60.0	0.03	82.5	0.21	58.0	0.02
Admission Cognitive FIM	89.5	0.38	44.0	0.01	84.0	0.24
Admission Total FIM	67.5	0.07	66.5	0.06	66.0	0.06
Change in MMAS	45.0	0.01	106.0	0.79	42.0	0.01
Change in Motor FIM	0.0	0.001	69.5	0.07	0.5	0.001
Change in Cognitive FIM	51.5	0.01	0.0	0.001	48.0	0.01
Change in Total FIM	0.0	0.001	57.0	0.02	0.0	0.001
MMAS rate of recovery	24.0	0.001	98.5	0.56	22.0	0.001
Motor FIM rate of recovery	4.0	0.001	84.0	0.24	8.0	0.001
Cognitive FIM rate of recovery	51.0	0.01	13.0	0.001	48.0	0.01
Total FIM rate of recovery	3.0	0.001	72.0	0.09	6.0	0.001

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327 **Table 4: Association of Predicting Factors and Minimal Clinical Important Difference**

Independent variables		OR	true MCID SE(OR)	95%CI
Total FIM				
Stroke type	haemorrhagic	1		
	Infactive	0.02	2.35	0 – 1.77
Stroke motor impairment				
	Left side	1		
	Right side	7.72*	1.00	1.08-54.97
Age		1.07	0.04	0.99-1.16
Stroke onset interval		0.87	0.08	0.74-1.01
Motor FIM				
Admission motor FIM		0.96*	0.02	0.92-1.00
Cognitive FIM				
Admission cognitive FIM		0.88*	0.05	0.80-0.98

328 MCID=Minimal Clinical Important Difference *significant at $P<0.05$

329 Factors enter in the model (binary regression): type of stroke, motor impairment body side, stroke onset interval,
 330 age, admission FIM, sex and length of rehabilitation.