

1 **A pilot, randomized sham control trial of autologous bone marrow stem**
2 **cells in acute ischemic central retinal vein occlusion (sic study)**

3 **Summary**

4 In this pilot, sham controlled RCT in patients with ischemic CRVO we studied the safety and
5 efficacy of intravitreal injection of autologous bone marrow derived stem cells and found that
6 both patients who received stem cell injections did not develop anterior segment
7 neovascularization at 1 year follow up. No injection related SAEs were observed. Based on
8 our observations we recommend a larger, multicentric study to establish the efficacy of this
9 treatment in patients with ischemic CRVO.

10 **Abstract**

11 **Purpose:** To study the safety and efficacy of autologous bone marrow derived stem cells
12 injected intravitreally in patients with ischemic CRVO

13 **Study design:** Randomized sham controlled trial

14 **Method:** 4 cases with ischemic CRVO were recruited into the study. 2 cases were
15 randomized into intervention group and 2 into control group. After baseline investigations
16 including BCVA, intra ocular pressure, fundus fluorescein angiography, OCT, patients in
17 intervention group received intra vitreal injection of autologous bone marrow derived stem
18 cells and in control group received sham injection. Patients were followed up over a 12
19 month period.

20 **Main outcome measures:** Development of anterior segment neovascularization.

21 **Results:** Both the patients in intervention group did not develop anterior segment
22 neovascularization over a follow up period of 1 year. 1 patient in control group developed

23 neovascularization of iris and raised intra ocular pressure over a follow up period of 6 weeks
24 requiring trabeculectomy for control of intra ocular pressure. Another patient in control group
25 was lost to follow up after 2 weeks.

26 **Conclusions:** Though the numbers are very small, our initial observations suggest that
27 intravitreal injection of stem cells may reduce the risk of developing anterior segment
28 neovascularization in patients with ischemic central retinal vein occlusion. A larger,
29 multicentric study would be valuable to gain further evidence to our preliminary
30 observations.

31 **Key words**

32 Stem cells, vascular occlusion, retina, intravitreal injection, neovascular glaucoma

33 **INTRODUCTION**

34 Retinal vein occlusion is the most common vascular cause of visual loss after diabetic
35 retinopathy.¹ Central retinal vein occlusion (CRVO) is the occlusion of central retinal vein at
36 or just behind the lamina cribrosa.²⁻⁴ Based on the studies of May and colleagues CRVO is
37 classified as ischemic CRVO and non ischemic CRVO. Ischemic CRVO is defined as central
38 retinal vein occlusion characterized by more than 10 disc areas of retinal non perfusion or
39 more than 50% of capillary non perfusion areas in a 30 degree fundus photograph by fundus
40 flourescein angiography.⁵ Major complications of ischemic CRVO are macular edema and
41 anterior segment neovascularisation with subsequent neovascular glaucoma. Treatment
42 options so far used for the management of ischemic CRVO include pan retinal
43 photocoagulation, intravitreal anti-VEGF agents and steroids. CRVO study has shown that
44 pan retinal photocoagulation causes regression of neovascularisation in 56% of cases if
45 instituted after early evidence of NVI. But neither pan retinal photocoagulation nor macular

46 grid can help in improvement of vision.⁶ RAVE trial (Rubeosis Anti-VEGF trial) has shown
47 that after intra vitreal injection of ranibizumab monthly for 9 months, at 6 months of follow
48 up 90% of cases had resolution of macular edema, 60% of cases had improvement in visual
49 acuity by four lines and none of the patients developed neovascularisation of iris. At 3 years
50 of follow up however, patients had deterioration of visual acuity and 30% of cases developed
51 neovascular glaucoma.⁷ So far there is no established treatment algorithm for ischemic
52 CRVO.

53 Studies have shown that intravenous injection of autologous bone marrow derived
54 mononuclear stem cells in ischemic stroke results in axonal plasticity and functional recovery
55 in both experimental models and patients.⁸⁻¹⁰ The neuro protective effect of stem cells is
56 presumably due to expression of neurotropic factors like insulin like growth factor, basic
57 fibroblast growth factor, epidermal growth factor which rescue the injured neuron. Similarly
58 intravitreal injection of mononuclear bone marrow derived stem cells in animal model of
59 retinal ischemia have shown reduction in development of pre-retinal neovascular tufts.¹¹

60 **Methods**

61 Approval was obtained from institutional committee for stem cell research and therapy (letter
62 enclosed) and institute ethics committee (**IESC/T-448/30.11.2012**), AIIMS. Four cases with
63 ischemic CRVO confirmed by fundus fluorescein angiography without evidence of anterior
64 segment neovascularisation or glaucoma or other concurrent ocular pathology such as
65 cataract or diabetic retinopathy were recruited into the study. Two cases were randomized
66 into intervention group and 2 into study group. All the patients underwent a thorough ocular
67 examination including best corrected measurement of visual acuity and intra ocular pressure
68 by Goldmann applanation tonometer, anterior segment evaluation with slit lamp and
69 Goldmann single mirror gonioscopy to rule out presence of anterior chamber

70 neovascularisation. All the patients also underwent fundus fluorescein angiography to note
71 the perfusion status and SD-OCT to note central macular thickness.

72 Patient in intervention group underwent bone marrow aspiration by a standard technique. In
73 lateral decubitus position, skin over the iliac bone was cleaned with antiseptic solution and
74 draped. Skin and soft tissue down to periosteum was infiltrated with local anaesthetic 1%
75 lignocaine with 1:1000 adrenaline. Approximately 40 ml of bone marrow was aspirated with
76 15G bone marrow aspiration needle from posterior superior iliac spine. Patient in control
77 group underwent sham procedure where in patients were positioned, parts cleaned and
78 draped, and the skin over the posterior superior iliac spine was pressed with hub of syringe
79 (and no needle) to produce sensation of pain. Bone marrow stem cells were separated by
80 Ficoll density separation method. Stem cells were layered over lymphocyte separation
81 medium (Bio Whittaker) and centrifuged at a speed of 1500 rpm for 25 min. Mononuclear
82 cells were aspirated and washed thrice in heparinised normal saline to remove the traces of
83 Ficoll. All the procedures were done under strict aseptic condition. The harvested stem cells
84 were evaluated for viability, CD 34+ count, total count, morphology and Giemsa staining.

85 Intravitreal injection was given within 2 hours of stem cell isolation. Patient's pupil were
86 dilated with tropicamide 0.5% and conjunctiva anaesthetized with proparacaine 0.5%. Eye
87 was cleaned with povidone iodine and draped. Stem cell preparation of 0.09 ml containing 6-
88 8 million stem cells was mixed with 0.01 ml of triamcinolone acetonide containing 0.04 mg
89 to counter the possible immunogenic reaction in vitreous cavity. The mixture was injected at
90 a distance of 3.5-4.0 mm from limbus in the inferotemporal quadrant with 26G needle on
91 tuberculin syringe. AC paracentesis was done. Topical Moxifloxacin 0.5% was instilled for a
92 week after the procedure. For patients in control group, eye was cleaned, draped and the
93 globe was pressed with hub of syringe to produce sensation of pain and similar post-
94 procedure topical drops were prescribed. None of the patients received any additional

95 intravitreal injections like bevacizumab, ranibizumab or triamcinolone. Also, no periocular
96 injection of corticosteroids was used in the follow up period.

97 Patients were followed up over a period of 12 months at 1 week, 2 week, 4 week, 8 week, 12
98 week and 24 week 36 weeks and 48 weeks, with periodic evaluation of best corrected visual
99 acuity, intra ocular pressure, slit lamp examination for evidence of intra ocular inflammation,
100 iris neovascularization, gonioscopy for neovascularization of angle. SD-OCT was done in
101 every follow up and fundus fluorescien angiography was done at 4, 12 and 24 week.

102 **Results**

103 Case 1 (Intervention group): 64 year old female with symptoms of 12 week duration with
104 baseline BCVA of 3/60 snellen equivalent and presence of epi macular membrane with
105 history of hypertension. On first post op day patient had dense vitreous haze in centre which
106 persisted till 4 weeks. At the end of 12 months patient had no evidence of anterior segment
107 neovascularization with BCVA of 6/60 and pseudohole with pre-existing epimacular
108 membrane.

109 Case 2 (intervention group): 41 year old female with symptoms of 2 weeks duration with
110 baseline BCVA of 1/60 snellen equivalent and central macular thickness of 764 μ with no
111 systemic risk factors. On first post operative day there was 4+ cells in AC which resolved by
112 2 weeks with topical prednisolone acetate. At 6 months follow up there was no evidence of
113 anterior segment neovascularization and patient had a BCVA of 6/12 snellen equivalent and
114 central macular thickness of 262 μ . (Figure. 1)

115 Case 3 (Sham group): 74 year old male with symptoms of 12 week duration with
116 hypertension with baseline visual acuity of 1/60 snellen equivalent and central macular
117 thickness of 1151 μ . There was no evidence of anterior segment neovascularization on 4 week

118 follow up but patient presented at 6 week with complaints of ocular pain. Intra ocular
119 pressure was recorded to be 42 mm of Hg on Goldmann applanation tonometer. There was no
120 evidence of NVI but gonioscopy revealed presence of NVA. Patient underwent pan retinal
121 photocoagulation. Trabeculectomy with 0.02% mitomycin c was done to control intra ocular
122 pressure as adequate control was not achieved with medical measures.

123 Case 4 (sham group): 70 year old male with baseline BCVA of 1/60 snellen equivalent with
124 central macular thickness of 760 μ and history of 10 weeks. Patient was lost to follow up after
125 2 weeks. At 2 weeks there was no evidence of anterior segment neovascularization.

126 **Discussion**

127 Ischemic CRVO is a major cause of neovascular glaucoma. Pan retinal photocoagulation
128 after the development of significant anterior chamber neovascularization involving 2 clock
129 hours of iris is the current standard of care. Intravitreal injection of bone marrow derived
130 stem cells has shown some benefit in mouse models of inherited retinal degenerations and
131 retinitis pigmentosa.^{12,13} But these are chronic disease processes and an end point cannot be
132 determined. In contrast CRVO is an acute event, involving inner retina and an end point can
133 be determined. Human studies involving intravenous injection of autologous bone marrow
134 derived stem cells have been done in patients with ischemic stroke¹⁰ which is also an acute
135 event and of vascular origin.

136 Both the patients who received intravitreal injection of stem cells had minimal intraocular
137 inflammation in the first week which resolved without any complication. So it gives a little
138 evidence that the risk of severe intraocular inflammation after intravitreal injection of stem
139 cells is less likely. The immediate post-injection sterile reaction was well controlled due to
140 concurrent injection of a microdose of triamcinolone. Thus the combined dose of 0.09mL of

141 autologous bone marrow stem cells and 0.01mL of triamcinolone was found to be safe and
142 well tolerated.

143 In both the patients in intervention group anterior segment neovascularization did not develop
144 over a follow up period of 12 months. The best result was observed in case 2 in whom the
145 injection was given within 2 weeks of developing symptoms. Visual acuity in this patient
146 improved from 1/60 to 6/12. This suggests that early intervention with stem cells may be able
147 to aid better functional recovery akin to that well established for stroke victims.

148 An important limitation of our study is the small sample size owing to which it would be
149 difficult to ascertain if the absence/ presence of anterior segment neovascularization was a
150 result of the known natural history of CRVO.¹⁴

151 **Conclusion** In this pilot study we found intravitreal injection of autologous bone marrow
152 cells to be safe and efficacious in patients with acute onset CRVO. Multicentric and large
153 clinical trials are suggested to add further evidence to our initial observations.

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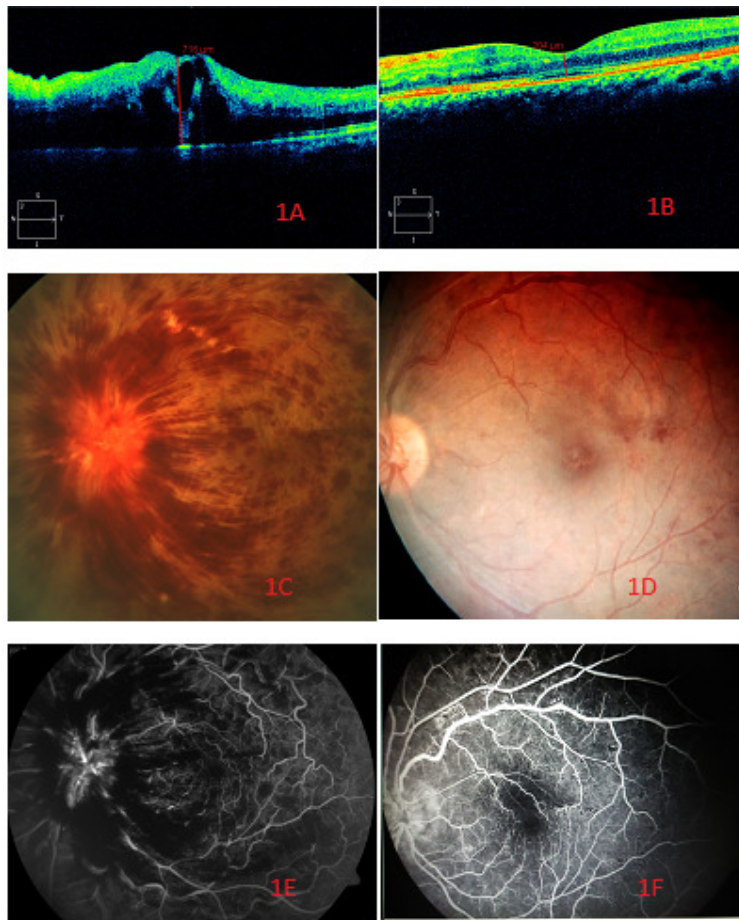
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201 **Figure legend**

202 Figure 1: 1A- Pre intervention SD-OCT showing macular edema with cystic spaces. 1B-
203 6 week post intervention SD-OCT showing resolution of macular edema. 1C- Pre-
204 intervention fundus photograph. 1D- 6 week post-intervention fundus photograph. 1E-
205 Pre intervention fundus fluorescien angiography. 1F- 6 week post-intervention fundus
206 fluorescien angiography.

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208

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figure-1